

BRITISH COLUMBIA SECURITIES COMMISSION
Statement of Financial Information - Schedule 1(c) - Schedule of Debts
As at March 31, 2018

No debt is disclosed in this schedule, as we have none of the forms of debt described in the Regulation.

BRITISH COLUMBIA SECURITIES COMMISSION

**Statement of Financial Information - Schedule 1(d) - Schedule of Guarantee and Indemnity Agreements
As at March 31, 2018**

Following are the indemnity agreements in force which required government approval prior to being given under the Guarantees and Indemnities Regulation (BC Reg. 258/87):

Indemnity agreements between the Province and each of the commissioners

Board resolution passed February 5, 2008 providing indemnification protection to the officers and employees of the Commission

Indemnity provisions under an April 2, 2013 electronic filing system contract with CGI Information Systems and Management Consultants Inc., and related agreements

BRITISH COLUMBIA SECURITIES COMMISSION

Statement of Financial Information - Schedule 1(e) - Schedule of Employee Remuneration and Expenses

For the Year Ended March 31, 2018

Order in Council appointments

Name	Position	Remuneration	Expenses
Cave, Nigel	Vice Chair	\$ 433,238	\$ 14,858
Downes, Judith	Independent Commissioner	91,115	4,284
Glover, George	Independent Commissioner	73,092	16,565
Ho, Audrey	Independent Commissioner	75,330	4,346
Holloway, Gordon	Independent Commissioner	78,620	7,254
	Seconded to the Canadian Securities		
Hyndman, Douglas M	Transition Office (CSTO) - July 13, 2009 ³	562,926	-
Leong, Brenda M	Chair and CEO	583,428	51,646
Rowlatt, Don	Independent Commissioner	48,458	-
Wiltshire, Suzanne K	Independent Commissioner	120,784	388
		\$ 2,066,991	\$ 99,339
			\$ 2,166,330

Employees who received remuneration of more than \$75,000

Name	Remuneration	Expenses
Adema, Elizabeth A	\$ 98,184	\$ -
Albrino, Manuele	145,341	12,169
Allworth, Ryan	93,081	42
Anthistle, Kathryn	84,501	2,140
Armistead, Wayne	171,716	1,757
Asfaw, Mahdere	76,517	-
Bansal, A Paul	134,183	3,311
Bayley, Cynthia	122,027	5,249
Benham, Brenda J	135,003	5,015
Bent, Noreen C	199,807	4,097
Brady, Michael	184,424	11,945
Brady, Peter	316,887	23,507
Brar, Jagdeep	127,693	1,012
Brown, Brenda Lea	126,114	5,618
Bruchet, Sarah	89,754	1,659
Bruun, Marita R	122,925	1,581
Chambers, Lori E	191,884	6,260
Chan, Brenda C	77,990	2,941
Chan, Grace	85,621	1,617
Chan, Winko W	99,391	-
Chapman, Derek	163,472	4,313
Chen, Melody	137,088	4,702
Cheng, Christopher C C	96,885	1,620
Chernoff, Karen L	98,482	4,465
Chien, Stephen K	91,443	5,124
Chow, Sabina	124,202	3,440
Coates, James A	159,881	7,485
Cochrane, Tara	100,203	3,380
Collett, Ian	144,476	9,353
Collins, Christopher	175,239	5,137
Cook, Michele	75,109	-
Corrigall-Brown, Sarah	151,178	4,356
Costin, Alan E	140,985	4,146
Couper, Paula M	88,491	-
Cowan, Linda ³	158,920	-
Cyr, Anita	127,506	1,337
DiFonzo, Romolo	137,932	50
Donders, Lindsay	101,834	6,269
Driver, Hutoksy	88,916	-
Edman, Jody Ann	135,614	7,976
Esmail, Shamira	126,664	5,107
Fagbamiye, Olubode	134,168	3,935
Fidler, Nicole L	90,669	3,335
Filate, Isaac	120,572	8,494
Fisher, Beverley M	101,806	1,878
French, Mark E	176,350	5,926
Gajjar, Sima	98,902	3,814

Name	Remuneration	Expenses
Gander, Ann	83,039	18
Gellis, Jeremy	175,810	5,114
Gokmen, Theresa	78,108	50
Gracey, Kenneth	125,309	5,011
Green, Connie L ³	103,916	-
Haer, Malkinder S	137,686	2,109
Hainey, David	121,594	4,619
Hait, Carla Marie	204,421	5,276
Hamilton, Anne M	88,172	2,890
Hamilton, Mary	125,480	3,281
Haye, Lenworth	121,124	1,346
Hilford, Mark L	201,384	26,339
Hinze, John R	302,898	17,875
Hollister, Lee ³	142,233	-
Hungerford, George	133,643	9,167
Iaria, Amita	147,107	6,405
Inglis, David C	98,222	6,500
Isaac, Nicholas	117,189	3,104
Jansen, Mauri C	92,587	-
Jaswal, Rina	123,891	5,084
Johner, Joyce M	113,183	910
Joly, Lynn	94,079	1,756
Joyce, Andrew	138,890	19,090
Karoutsos, Thomas	118,152	1,667
Keats, Alan E	179,587	4,261
Kenda, Veda	147,080	5,198
Khlabatyn, Vasyl	124,023	773
Khurana, Harpreet Kaur	104,648	4,330
Lam, Olivia	91,811	4,657
Lee, Nazma	142,738	3,839
Lee, Sylvia	136,994	-
Leon, Roy	122,608	3,513
Leong, Edwin	105,394	2,761
Leong, James	122,040	13,841
Leung, Janice	157,054	6,387
Leung, Randa L	82,791	580
Li, Richard	76,341	3,425
Lim, Allan M	161,705	9,541
Lo, Joseph	123,635	903
Lo, Suzanne	131,700	5,430
Lyon, Sharmin	130,810	1,628
MacKay, J Douglas	169,472	6,138
Mak, Elliott	131,860	5,800
Masum, Hyder Zach	174,108	25,041
Mayede, Alan	126,542	930
McColm, Shawn	157,654	4,285
McDonald, Pamela	244,522	27,397
McFadden, Darlene P	79,188	7
Mercier, Leigh Anne ³	182,557	-
Milani, Linda	118,065	-
Monroy, Luisa	123,019	9,160
Moretto, Michael L	184,714	4,012
Morita, Teresa	99,059	3,946
Muir, Douglas B	296,140	6,918
Ng, Colleen	85,156	2,313
Nielsen, Norman E	76,951	-
Palmer, Catherine	128,279	3,420
Pantic, Danijela	138,581	3,857
Parmar, Gurneev	169,875	4,058
Pau, Eric	137,717	3,259
Penn, April L	130,752	16,717
Pesunti, Michael	145,514	7,649
Pickard, Scott L	129,096	1,478
Pivnenko, Mila	130,307	3,950
Poon, Andrew C K	133,482	6,817
Quitevis, Jeff	78,281	6,052
Rajanayagam, David	95,148	5,424
Rankin, Paul D	119,051	5,000

Name	Remuneration	Expenses	
Reddick, Stacey	90,961	2,026	
Richardson, Andrew	198,779	3,257	
Ritchie, Megan	99,318	16,526	
Rogers, Anjalika	80,646	3,103	
Rose, Leslie R	180,044	5,178	
Sanghera, Nirwair	111,098	2,157	
Sharma, Shaneel	122,011	3,140	
Sia, Paul	113,644	151	
Sierz, Jose P	129,358	2,551	
Sinclair, Bruce	144,859	7,611	
Singh, Priya	100,475	420	
Slavnic, Sasa	94,754	-	
Smith, Gordon	177,857	8,219	
Smith, Laesha	125,054	6,891	
Sohpaul, Vikram	93,736	-	
Steeves, Victoria	149,235	4,290	
Streu, Larissa	113,597	4,509	
Tang, Xiang Zhe	81,356	798	
Thai, Joanne	84,458	3,684	
Thompson, Christopher	87,906	3,854	
Thompson, David M	233,593	7,882	
Thong, Eric	122,417	5,715	
Ting, William	98,007	2,362	
Torrance, James	159,017	3,104	
Wakeland, Patrick Jerome	84,978	6,395	
Walker, Alison	76,056	3,763	
Wang, Mark A	273,588	7,344	
Wasylik, Darin	118,237	2,448	
Weng, Jianing	99,031	-	
Whately, Jennifer	148,464	4,208	
Wilkins, Larry P	146,865	3,196	
Wolf, Christina	262,888	10,348	
Wong, Michael P	100,386	2,202	
Wong, Milly M	75,603	-	
Wu, Han	90,562	-	
Wu, Sammy	129,373	8,506	
Yehl, Victoria	127,210	2,057	
Yip, Jennifer	134,221	2,476	
Yu, Grace F P	123,816	2,162	
Zhang, Wenyan	129,676	1,370	
Zolnay, Steve	177,422	3,104	
	\$ 20,434,747	\$ 714,875	21,149,622
Paid to employees who received remuneration of \$75,000 or less	4,494,913	82,709	4,577,622
Total remuneration and expenses, excluding employer CPP and EI	\$ 26,996,652	\$ 896,923	\$ 27,893,575

Reconciliation to salaries and benefits per financial statements

Remuneration and expenses, as above	\$ 27,893,575
Employer CPP remittances	596,782
Employer EI remittances	235,984
Employee expenses not in salaries and benefits expense per financial statements ¹	(700,721)
Other benefits applicable to all employees ²	3,647,363
Capitalized salaries	(263,359)
Secondments and third party labour chargebacks ³	(1,564,322)
Severance ⁴	63,095
Other adjustments	(302,715)
Local salaries and benefits expense per financial statements	\$ 29,605,683
National systems salaries & benefits expense per financial statements	636,497
Salaries and benefits per financial statements	\$ 30,242,180

¹ Training, travel and similar items included in expenses above are reported separately from salaries and benefits expense in the financial statements

² Other benefits include: Employer pension plan, long term disability, extended health and dental, health spending accounts, Worksafe remittances

³ The CSTO and CMAIO reimburse the BCSC for these employees' remuneration during their secondments

⁴ Statement of Severance Agreements

During the year we approved two severance agreements representing 1-8 months of compensation

**Statement of Financial Information - Schedule 1(f) - Schedule of Payments to Suppliers of Goods and Services
For the Year Ended March 31, 2018**

Aggregate purchases greater than \$25,000 from individual suppliers

Vendor	Amount
108 Ideaspace Inc.	\$ 31,546
Accellion Inc.	29,331
Autorité des marchés financiers	220,490
Azure International Inc.	100,650
B.C. Records Management Services	47,008
BDO Canada LLP	35,003
Bell Canada	124,547
Bloomberg Finance L.P.	60,411
Cadillac Fairview Corporation Limited	3,128,419
CDW Canada	244,994
Charest Reporting Inc.	103,636
Charter Telecom Inc.	418,198
Chubb Edwards UTC Fire & Security Company	28,730
CLARO & CIA.	32,615
Cologix Canada Inc.	111,114
Combined Painting Ltd.	36,429
Deloitte Management Services LP	208,819
Dynamic Owl	52,432
EMC Corporation of Canada	305,913
Entrust Datacard Limited	32,695
Episerver Inc.	50,630
Evidence Solutions Ltd.	47,186
G4S Security Solutions (Canada) Ltd.	59,460
Global Payments Direct Inc.	73,727
Gordon Latham Limited	56,472
Grand & Toy	42,709
Innovative Research Group Inc.	163,906
International Organization of Securities Commissions	67,131
ITIQ Tech Recruiters	130,858
J.T. Insurance Services (Canada) Inc.	26,376
Kasian Architecture Interior Design and Planning Ltd.	27,486
Korn Ferry	172,667
KPMG LLP	124,105
Kwela Leadership & Talent Management	32,749
Lawson Lundell LLP	323,290
LexisNexis Canada Inc.	42,997
LogMeIn Ireland Ltd.	38,421
Malcolm K. Sparrow	60,090
McCarthy Tetrault LLP	46,524
Medisys Health Group Inc.	166,020
Meltwater News Canada Inc.	42,751
Minister of Finance	1,169,674
Open Text Corporation	50,145
P. Reimer & Associates Ltd.	106,386
Public Service LTD Fund	94,443

Vendor	Amount
Public Service Pension Plan	2,452,593
Randstad Technologies	455,372
Receiver General for Canada	832,730
Redgate Software Ltd.	27,555
Resolver Inc.	42,304
TeraGo Networks Inc.	39,557
ThirstFirst	34,085
Thomson Reuters Canada Limited	152,546
United Reporting Service Ltd.	58,526
Wasserman + Partners Advertising Inc.	1,166,825
Western Compensation & Benefits Consultants	37,322
WorkSafeBC	28,360
Xerox Canada Ltd.	140,664
Xpera Risk Mitigation & Investigation LP	25,726

Aggregate purchases more than \$25,000 to individual suppliers	\$	14,063,347
Aggregate purchases, of \$25,000 or less, to individual suppliers		1,225,112
Aggregate grants and contributions exceeding \$25,000		-
Fiscal 2018 operating and capital purchases - local operations	\$	15,288,458

Reconciliation to Statement of Operations and Change in Accumulated Surplus		
Fiscal 2018 operating and capital purchases - local operations	\$	15,288,458
Salaries and benefits expense		29,605,683
Depreciation - local operations		2,760,476
Employee expenses per Schedule E but not in salary & benefits expenses in f/s		700,721
General non-taxable employee benefit expenses included in supplier purchases		(4,476,120)
Third party cost recoveries		(299,599)
Increase in prepaid expenses and other adjustments		(85,190)
Capital purchases included above in supplier purchases		(914,214)
Total expenses - local operations, per Statement of Operations	\$	42,580,215
National filing systems operations expenses		4,024,051
Operating expenses per Statement of Operations and Change in Accumulated Surplus	\$	46,604,266