



BC Notice 2014/12

**Notice of Amended
BC Interpretation Note 33-705
*Conditions of Registration for Investment Dealers with a BC Office that
Trade in the U.S. Over-the-Counter Markets***

The BCSC is amending BC Interpretation Note 33-705 *Conditions of Registration for Investment Dealers with a BC Office that Trade in the U.S. Over-the-Counter Markets*.

On June 13, 2008, the BCSC imposed conditions of registration on all investment dealers that trade in securities of OTC issuers through an office in British Columbia. The conditions were part of the Commission's response to abusive practices in U.S. over-the-counter markets.

The BCSC proposed amendments to the conditions, forms, and BCIN 33-705 in [2012](#) and in [2013](#). The BCSC is not implementing those proposals.

Instead, the BCSC renewed the conditions with only one change – there is no expiry date. The amendments to BCIN 33-705 reflect that renewal.

You may refer questions to:

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